

Illinois Department of Human Services  
Division of Developmental Disabilities

# Person Centered Planning

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State of Illinois

# Federal Regulations Impacting Division of Developmental Disability Waiver Services

- Centers for Medicare and Medicaid Services (CMS) Home and Community Based Services (HCBS) Regulations
- Published January of 2014; effective March 2014
- Impacts all 1915c HCBS Waivers
- The Division of Developmental Disabilities (DDD) began implementing July 1, 2018



# DDD 1915c HCBS Waivers

- Children's In Home Support Waiver
- Children's Residential Waiver
- Adult Waiver



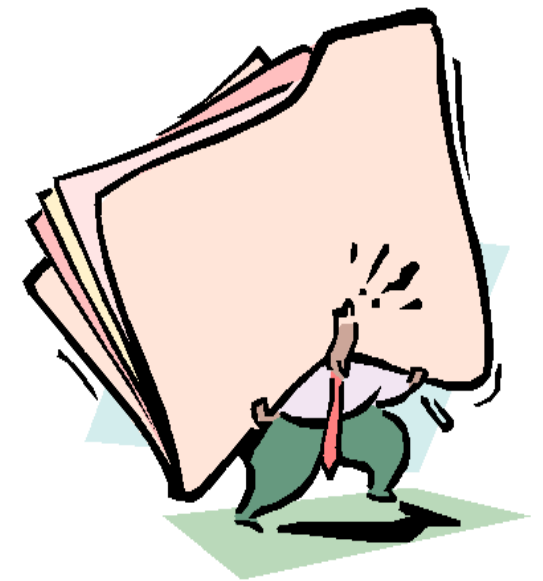
# What's the purpose of the 2014 HCBS Regulations?

- To ensure that individuals receiving long-term services and supports through HCBS programs have:
  - Full access to the benefits of community living
  - The opportunity to receive services in the most integrated setting appropriate



# HCBS Regulations Address 3 Broad Areas:

1. Conflict of Interest Free Case Management
2. Person Centered Planning
3. Settings



# What has Changed for People in a DDD Waiver?

- Individual Service Plans
- Service planning based on Active Treatment
- Provider agency as case manager

# Conflict of Interest Free Case Management

*CMS requires States to*



case management from service delivery  
functions.

# Conflict of Interest Free Case Management

Case Management must be done by someone who:

- ✓ Is not a relative of the person served.
- ✓ Does not provide a direct service.
- ✓ Does not have a financial interest in a provider or is not employed by a provider.





# Conflict of Interest Free Case Manager

In Illinois, the Independent Service Coordination Agency is the Case Manager and will:

- ✓ Determine eligibility
- ✓ Develop the Personal Plan
- ✓ Assist with identifying the providers of choice
- ✓ Monitor the Plan



# Person Centered Planning



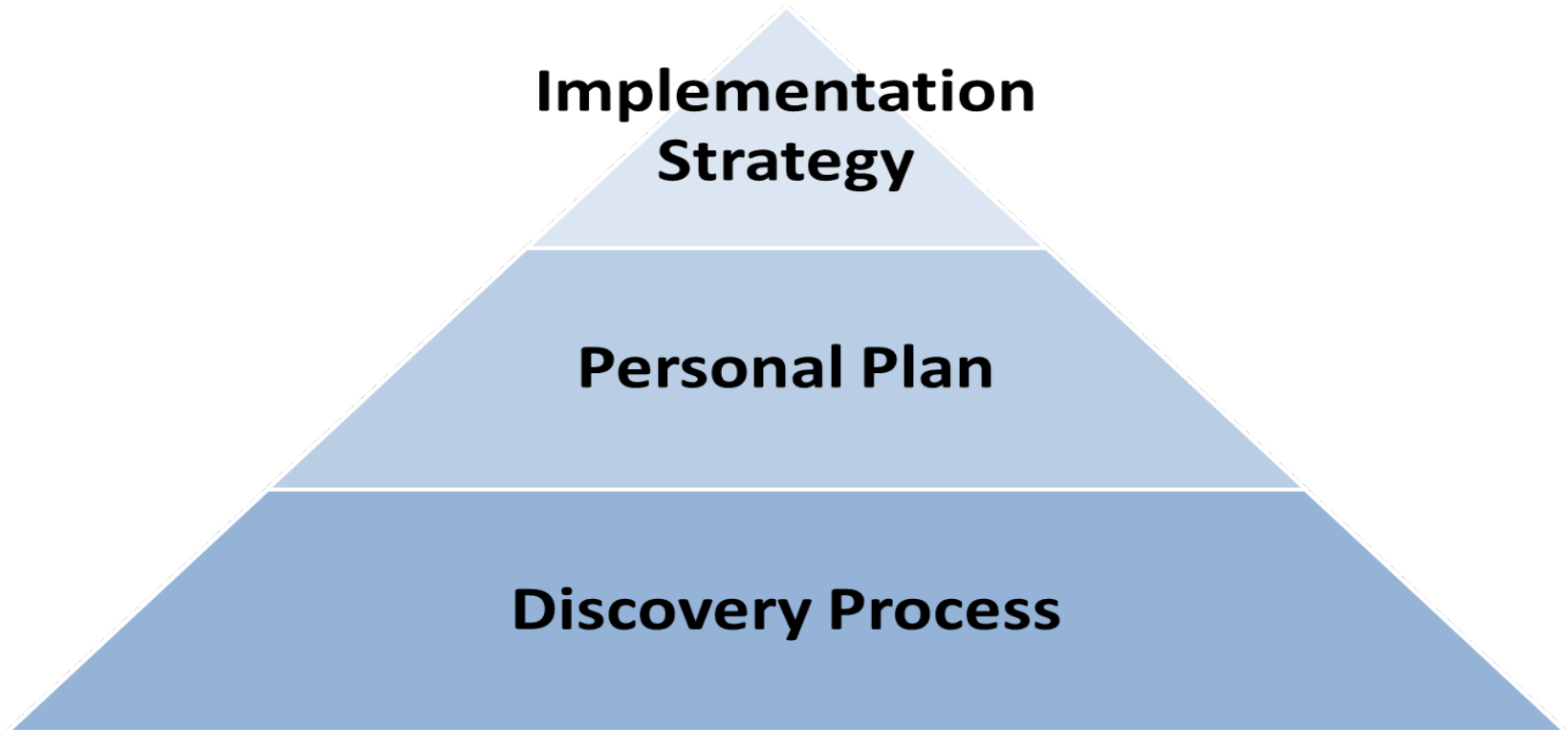
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# Person Centered Planning

- Identifying the balance between what is important to a person and what is important for a person.
- It is a way to identify & document strengths, preferences, needs and desired outcomes of a person.



# Person Centered Planning



# The Discovery Process



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# The Discovery Process

- Is designed to gather information.
- Should begin with the individual and then include the guardian along with others chosen by the individual. Current providers must also be included.
- Is not a one-time event or meeting. The ISCs will gather information over a period of time and by various methods (face to face, phone, electronic, review records).

# The Personal Plan



# The Personal Plan will identify:

- The outcomes that the person desires in their life. Each person must at least have 1 outcome.
- The strengths, preferences, and needs of a person.
- Risk factors and plans to minimize risk.
- Choice of providers.



# The Personal Plan

- Must be signed by the individual, guardian and ISC. The Plan is considered complete with these signatures.
- Each provider agency will sign a *Provider Signature Page*, indicating their willingness to develop an Implementation Strategy.
- Must be updated annually but can be done more often if needs or desires change.



# Moving from Goals to Outcomes!

“goals, outcomes, it’s all the same thing, just different words.....” right?

**WRONG**



# Outcomes

- May reflect something that is not currently present in their life.
- May reflect something that is already present and they want to maintain.
- Are not supports or services.
- Should also make sense for a person who does not have a developmental disability.

# Outcomes (#1) vs. Goals (#2)

1. Jim buys dinner at a restaurant of his choosing in order to spend time with friends.
2. Jim will identify coins with 100% accuracy in 3 of 4 trials by September 30, 2018.

# Implementation Strategy



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# Implementation Strategy

- Developed by provider agencies.
- Includes the outcome(s) identified in the Personal Plan that the provider has agreed to support.
- Includes a description of how supports and services will be provided.
- Designed by the provider agencies; must at least contain basic components.

# Next Steps

- Continue to update rules, manuals and forms to align with Person Centered Planning.
- Revisions to current forms
- Enhancing guidelines and process

# Resources

- **Person Centered Planning Process For Medicaid Waiver Services**

<http://www.dhs.state.il.us/page.aspx?item=96986>

- **Medicaid, Home & Community Based Services**

<https://www.medicaid.gov/medicaid/hcbs/index.html>

- **Federal Register**

<https://www.federalregister.gov/d/2014-00487>

- **Fact Sheet- Settings Final Rule**

<https://www.medicaid.gov/medicaid/hcbs/downloads/hcbs-setting-fact-sheet.pdf>





# Questions

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